

## Cash Settlement

October 11, 2013

Exchange/Memo ID: 203182

ONN / OCC ID: 33394



## ASTEX PHARMACEUTICALS, INC - CASH SETTLEMENT/ACCELERATION OF EXPIRATIONS

### DESCRIPTION:

On October 10, 2013, Autumn Acquisition Corporation, a wholly owned indirect subsidiary of Otsuka Pharmaceutical Co., Ltd. completed its tender offer for Astex Pharmaceuticals, Inc. (ASTX) Common Shares. The subsequent merger was completed before the opening on October 11, 2013. As a result, each existing ASTX Common Share will be converted into the right to receive \$8.50 net cash per share.

### ACCELERATION OF EXPIRATIONS

Pursuant to OCC Rule 807, equity stock option contracts whose deliverables are adjusted to call for cash-only delivery will be subject to an acceleration of the expiration dates for outstanding option series. (See OCC Information Memo 23707) Additionally, the exercise by exception (ex by ex) threshold for expiring series will be \$.01 in all account types.

All series of Astex Pharmaceuticals, Inc. (ASTX) options whose expiration dates are after 10-19-2013 will have their expiration dates advanced to 10-19-2013. Expiration dates occurring before 10-19-2013 (e.g., Flex options) will remain unchanged.

All Astex Pharmaceuticals, Inc. (ASTX) options will utilize a \$.01 exercise threshold.

Option Symbols: ASTX

Existing Expiration: All months

New expiration date: 10-19-2013

Existing American-style Astex Pharmaceuticals, Inc. options remain exercisable at the option of the holder prior to their expiration. Exercised options will continue to settle in three business days.

### Pending Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date:	<b>October 11, 2013</b>
Option Symbol:	ASTX remains ASTX
Underlying Security:	ASTX remains ASTX
Contract Multiplier:	1
Strike Divisor:	1
New Multiplier:	100
New Deliverable:	\$850.00 Cash (\$8.50 x 100)

All resting orders for **ASTX** options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on Friday, October 11th, 2013.

### Additional Exchange Info:

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
ASTX	C/P	0.50	10/19/2013	ASTX	C/P	0.50	10/19/2013



Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
ASTX	C/P	1.00	10/19/2013	ASTX	C/P	1.00	10/19/2013
ASTX	C/P	1.50	10/19/2013	ASTX	C/P	1.50	10/19/2013
ASTX	C/P	2.00	10/19/2013	ASTX	C/P	2.00	10/19/2013
ASTX	C/P	2.50	10/19/2013	ASTX	C/P	2.50	10/19/2013
ASTX	C/P	3.00	10/19/2013	ASTX	C/P	3.00	10/19/2013
ASTX	C/P	3.50	10/19/2013	ASTX	C/P	3.50	10/19/2013
ASTX	C/P	4.00	10/19/2013	ASTX	C/P	4.00	10/19/2013
ASTX	C/P	4.50	10/19/2013	ASTX	C/P	4.50	10/19/2013
ASTX	C/P	5.00	10/19/2013	ASTX	C/P	5.00	10/19/2013
ASTX	C/P	5.50	10/19/2013	ASTX	C/P	5.50	10/19/2013
ASTX	C/P	6.00	10/19/2013	ASTX	C/P	6.00	10/19/2013
ASTX	C/P	7.00	10/19/2013	ASTX	C/P	7.00	10/19/2013
ASTX	C/P	8.00	10/19/2013	ASTX	C/P	8.00	10/19/2013
ASTX	C/P	9.00	10/19/2013	ASTX	C/P	9.00	10/19/2013
ASTX	C/P	10.00	10/19/2013	ASTX	C/P	10.00	10/19/2013
ASTX	C/P	11.00	10/19/2013	ASTX	C/P	11.00	10/19/2013
ASTX	C/P	12.00	10/19/2013	ASTX	C/P	12.00	10/19/2013
ASTX	C/P	1.00	11/16/2013	ASTX	C/P	1.00	11/16/2013
ASTX	C/P	2.00	11/16/2013	ASTX	C/P	2.00	11/16/2013
ASTX	C/P	3.00	11/16/2013	ASTX	C/P	3.00	11/16/2013
ASTX	C/P	4.00	11/16/2013	ASTX	C/P	4.00	11/16/2013
ASTX	C/P	5.00	11/16/2013	ASTX	C/P	5.00	11/16/2013
ASTX	C/P	6.00	11/16/2013	ASTX	C/P	6.00	11/16/2013
ASTX	C/P	7.00	11/16/2013	ASTX	C/P	7.00	11/16/2013
ASTX	C/P	8.00	11/16/2013	ASTX	C/P	8.00	11/16/2013
ASTX	C/P	9.00	11/16/2013	ASTX	C/P	9.00	11/16/2013
ASTX	C/P	10.00	11/16/2013	ASTX	C/P	10.00	11/16/2013
ASTX	C/P	11.00	11/16/2013	ASTX	C/P	11.00	11/16/2013
ASTX	C/P	0.50	01/18/2014	ASTX	C/P	0.50	01/18/2014
ASTX	C/P	1.00	01/18/2014	ASTX	C/P	1.00	01/18/2014
ASTX	C/P	1.50	01/18/2014	ASTX	C/P	1.50	01/18/2014
ASTX	C/P	2.00	01/18/2014	ASTX	C/P	2.00	01/18/2014
ASTX	C/P	2.50	01/18/2014	ASTX	C/P	2.50	01/18/2014
ASTX	C/P	3.00	01/18/2014	ASTX	C/P	3.00	01/18/2014
ASTX	C/P	3.50	01/18/2014	ASTX	C/P	3.50	01/18/2014
ASTX	C/P	4.00	01/18/2014	ASTX	C/P	4.00	01/18/2014
ASTX	C/P	4.50	01/18/2014	ASTX	C/P	4.50	01/18/2014
ASTX	C/P	5.00	01/18/2014	ASTX	C/P	5.00	01/18/2014
ASTX	C/P	5.50	01/18/2014	ASTX	C/P	5.50	01/18/2014
ASTX	C/P	6.00	01/18/2014	ASTX	C/P	6.00	01/18/2014
ASTX	C/P	7.00	01/18/2014	ASTX	C/P	7.00	01/18/2014
ASTX	C/P	8.00	01/18/2014	ASTX	C/P	8.00	01/18/2014
ASTX	C/P	9.00	01/18/2014	ASTX	C/P	9.00	01/18/2014
ASTX	C/P	10.00	01/18/2014	ASTX	C/P	10.00	01/18/2014
ASTX	C/P	1.00	04/19/2014	ASTX	C/P	1.00	04/19/2014

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
ASTX	C/P	2.00	04/19/2014	ASTX	C/P	2.00	04/19/2014
ASTX	C/P	3.00	04/19/2014	ASTX	C/P	3.00	04/19/2014
ASTX	C/P	4.00	04/19/2014	ASTX	C/P	4.00	04/19/2014
ASTX	C/P	5.00	04/19/2014	ASTX	C/P	5.00	04/19/2014
ASTX	C/P	6.00	04/19/2014	ASTX	C/P	6.00	04/19/2014
ASTX	C/P	7.00	04/19/2014	ASTX	C/P	7.00	04/19/2014
ASTX	C/P	8.00	04/19/2014	ASTX	C/P	8.00	04/19/2014
ASTX	C/P	9.00	04/19/2014	ASTX	C/P	9.00	04/19/2014
ASTX	C/P	10.00	04/19/2014	ASTX	C/P	10.00	04/19/2014
ASTX	C/P	3.00	01/17/2015	ASTX	C/P	3.00	01/17/2015
ASTX	C/P	5.00	01/17/2015	ASTX	C/P	5.00	01/17/2015
ASTX	C/P	7.00	01/17/2015	ASTX	C/P	7.00	01/17/2015
ASTX	C/P	10.00	01/17/2015	ASTX	C/P	10.00	01/17/2015
ASTX	C/P	12.00	01/17/2015	ASTX	C/P	12.00	01/17/2015
ASTX	C/P	15.00	01/17/2015	ASTX	C/P	15.00	01/17/2015
ASTX	C/P	17.00	01/17/2015	ASTX	C/P	17.00	01/17/2015
ASTX	C/P	3.00	01/15/2016	ASTX	C/P	3.00	01/15/2016
ASTX	C/P	5.00	01/15/2016	ASTX	C/P	5.00	01/15/2016
ASTX	C/P	7.00	01/15/2016	ASTX	C/P	7.00	01/15/2016
ASTX	C/P	10.00	01/15/2016	ASTX	C/P	10.00	01/15/2016
ASTX	C/P	12.00	01/15/2016	ASTX	C/P	12.00	01/15/2016
ASTX	C/P	15.00	01/15/2016	ASTX	C/P	15.00	01/15/2016
ASTX	C/P	17.00	01/15/2016	ASTX	C/P	17.00	01/15/2016

**Disclaimer:**

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

**Contact Information:**

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845.