

Symbol Conversion

November 14, 2013

Exchange/Memo ID: 203207

ONN / OCC ID: 33566



HSBC HOLDINGS PLC - SYMBOL CHANGE

DESCRIPTION:

The Options Clearing Corporation (OCC) has been advised that HSBC Holdings plc (HBC) will change its trading symbol to HSBC. As a result, option symbols HBC/2HBC will also change to HSBC/2HSBC effective at the opening of business on November 15, 2013.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbols HSBC and 2HSBC commencing November 15, 2013.

Symbol Conversion:

Adjustment Date: **11/15/2013**

Option Symbol: HBC becomes HSBC

Underlying Security: HBC becomes HSBC

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Strike Price: **Remains the Same**

New Deliverable/Contract: 100 HSBC Holdings plc (HSBC) American Depositary Shares

On Thursday, November 14th, 2013, immediately after the BOX close, all resting orders for HBC in the order book will be canceled by the BOX Market Operations Center. If a BOX Participant wishes to enter orders for the new HSBC series, the BOX Participant will need to reenter the orders before the open on Friday, November 15th, 2013.

Pricing Considerations:

Additional Exchange Info:

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
HBC	C/P	45.00	11/16/2013	HSBC	C/P	45.00	11/16/2013
HBC	C/P	50.00	11/16/2013	HSBC	C/P	50.00	11/16/2013
HBC	C/P	52.50	11/16/2013	HSBC	C/P	52.50	11/16/2013
HBC	C/P	55.00	11/16/2013	HSBC	C/P	55.00	11/16/2013
HBC	C/P	57.50	11/16/2013	HSBC	C/P	57.50	11/16/2013

HBC	C/P	60.00	11/16/2013	HSBC	C/P	60.00	11/16/2013
HBC	C/P	62.50	11/16/2013	HSBC	C/P	62.50	11/16/2013

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HBC	C/P	65.00	11/16/2013	HSBC	C/P	65.00	11/16/2013
HBC	C/P	70.00	11/16/2013	HSBC	C/P	70.00	11/16/2013
HBC	C/P	75.00	11/16/2013	HSBC	C/P	75.00	11/16/2013
HBC	C/P	80.00	11/16/2013	HSBC	C/P	80.00	11/16/2013
HBC	C/P	30.00	12/21/2013	HSBC	C/P	30.00	12/21/2013
HBC	C/P	35.00	12/21/2013	HSBC	C/P	35.00	12/21/2013
HBC	C/P	40.00	12/21/2013	HSBC	C/P	40.00	12/21/2013
HBC	C/P	45.00	12/21/2013	HSBC	C/P	45.00	12/21/2013
HBC	C/P	50.00	12/21/2013	HSBC	C/P	50.00	12/21/2013
HBC	C/P	52.50	12/21/2013	HSBC	C/P	52.50	12/21/2013
HBC	C/P	55.00	12/21/2013	HSBC	C/P	55.00	12/21/2013
HBC	C/P	57.50	12/21/2013	HSBC	C/P	57.50	12/21/2013
HBC	C/P	60.00	12/21/2013	HSBC	C/P	60.00	12/21/2013
HBC	C/P	62.50	12/21/2013	HSBC	C/P	62.50	12/21/2013
HBC	C/P	65.00	12/21/2013	HSBC	C/P	65.00	12/21/2013
HBC	C/P	67.50	12/21/2013	HSBC	C/P	67.50	12/21/2013
HBC	C/P	70.00	12/21/2013	HSBC	C/P	70.00	12/21/2013
HBC	C/P	75.00	12/21/2013	HSBC	C/P	75.00	12/21/2013
HBC	C/P	20.00	01/18/2014	HSBC	C/P	20.00	01/18/2014
HBC	C/P	23.00	01/18/2014	HSBC	C/P	23.00	01/18/2014
HBC	C/P	25.00	01/18/2014	HSBC	C/P	25.00	01/18/2014
HBC	C/P	28.00	01/18/2014	HSBC	C/P	28.00	01/18/2014
HBC	C/P	30.00	01/18/2014	HSBC	C/P	30.00	01/18/2014
HBC	C/P	33.00	01/18/2014	HSBC	C/P	33.00	01/18/2014
HBC	C/P	35.00	01/18/2014	HSBC	C/P	35.00	01/18/2014
HBC	C/P	40.00	01/18/2014	HSBC	C/P	40.00	01/18/2014
HBC	C/P	42.00	01/18/2014	HSBC	C/P	42.00	01/18/2014
HBC	C/P	45.00	01/18/2014	HSBC	C/P	45.00	01/18/2014
HBC	C/P	47.00	01/18/2014	HSBC	C/P	47.00	01/18/2014
HBC	C/P	50.00	01/18/2014	HSBC	C/P	50.00	01/18/2014
HBC	C/P	52.50	01/18/2014	HSBC	C/P	52.50	01/18/2014
HBC	C/P	55.00	01/18/2014	HSBC	C/P	55.00	01/18/2014
HBC	C/P	57.50	01/18/2014	HSBC	C/P	57.50	01/18/2014
HBC	C/P	60.00	01/18/2014	HSBC	C/P	60.00	01/18/2014
HBC	C/P	62.50	01/18/2014	HSBC	C/P	62.50	01/18/2014
HBC	C/P	65.00	01/18/2014	HSBC	C/P	65.00	01/18/2014
HBC	C/P	67.50	01/18/2014	HSBC	C/P	67.50	01/18/2014
HBC	C/P	70.00	01/18/2014	HSBC	C/P	70.00	01/18/2014
HBC	C/P	75.00	01/18/2014	HSBC	C/P	75.00	01/18/2014
HBC	C/P	80.00	01/18/2014	HSBC	C/P	80.00	01/18/2014
HBC	C/P	45.00	03/22/2014	HSBC	C/P	45.00	03/22/2014
HBC	C/P	50.00	03/22/2014	HSBC	C/P	50.00	03/22/2014
HBC	C/P	52.50	03/22/2014	HSBC	C/P	52.50	03/22/2014
HBC	C/P	55.00	03/22/2014	HSBC	C/P	55.00	03/22/2014

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
HBC	C/P	57.50	03/22/2014	HSBC	C/P	57.50	03/22/2014
HBC	C/P	60.00	03/22/2014	HSBC	C/P	60.00	03/22/2014
HBC	C/P	62.50	03/22/2014	HSBC	C/P	62.50	03/22/2014
HBC	C/P	65.00	03/22/2014	HSBC	C/P	65.00	03/22/2014
HBC	C/P	70.00	03/22/2014	HSBC	C/P	70.00	03/22/2014
HBC	C/P	75.00	03/22/2014	HSBC	C/P	75.00	03/22/2014
HBC	C/P	80.00	03/22/2014	HSBC	C/P	80.00	03/22/2014
HBC	C/P	45.00	06/21/2014	HSBC	C/P	45.00	06/21/2014
HBC	C/P	50.00	06/21/2014	HSBC	C/P	50.00	06/21/2014
HBC	C/P	52.50	06/21/2014	HSBC	C/P	52.50	06/21/2014
HBC	C/P	55.00	06/21/2014	HSBC	C/P	55.00	06/21/2014
HBC	C/P	57.50	06/21/2014	HSBC	C/P	57.50	06/21/2014
HBC	C/P	60.00	06/21/2014	HSBC	C/P	60.00	06/21/2014
HBC	C/P	62.50	06/21/2014	HSBC	C/P	62.50	06/21/2014
HBC	C/P	65.00	06/21/2014	HSBC	C/P	65.00	06/21/2014
HBC	C/P	70.00	06/21/2014	HSBC	C/P	70.00	06/21/2014
HBC	C/P	75.00	06/21/2014	HSBC	C/P	75.00	06/21/2014
HBC	C/P	80.00	06/21/2014	HSBC	C/P	80.00	06/21/2014
HBC	C/P	25.00	01/17/2015	HSBC	C/P	25.00	01/17/2015
HBC	C/P	30.00	01/17/2015	HSBC	C/P	30.00	01/17/2015
HBC	C/P	33.00	01/17/2015	HSBC	C/P	33.00	01/17/2015
HBC	C/P	35.00	01/17/2015	HSBC	C/P	35.00	01/17/2015
HBC	C/P	38.00	01/17/2015	HSBC	C/P	38.00	01/17/2015
HBC	C/P	40.00	01/17/2015	HSBC	C/P	40.00	01/17/2015
HBC	C/P	43.00	01/17/2015	HSBC	C/P	43.00	01/17/2015
HBC	C/P	45.00	01/17/2015	HSBC	C/P	45.00	01/17/2015
HBC	C/P	47.00	01/17/2015	HSBC	C/P	47.00	01/17/2015
HBC	C/P	50.00	01/17/2015	HSBC	C/P	50.00	01/17/2015
HBC	C/P	52.50	01/17/2015	HSBC	C/P	52.50	01/17/2015
HBC	C/P	55.00	01/17/2015	HSBC	C/P	55.00	01/17/2015
HBC	C/P	57.50	01/17/2015	HSBC	C/P	57.50	01/17/2015
HBC	C/P	60.00	01/17/2015	HSBC	C/P	60.00	01/17/2015
HBC	C/P	62.50	01/17/2015	HSBC	C/P	62.50	01/17/2015
HBC	C/P	65.00	01/17/2015	HSBC	C/P	65.00	01/17/2015
HBC	C/P	67.50	01/17/2015	HSBC	C/P	67.50	01/17/2015
HBC	C/P	70.00	01/17/2015	HSBC	C/P	70.00	01/17/2015
HBC	C/P	75.00	01/17/2015	HSBC	C/P	75.00	01/17/2015
HBC	C/P	80.00	01/17/2015	HSBC	C/P	80.00	01/17/2015
HBC	C/P	85.00	01/17/2015	HSBC	C/P	85.00	01/17/2015
HBC	C/P	30.00	01/15/2016	HSBC	C/P	30.00	01/15/2016
HBC	C/P	35.00	01/15/2016	HSBC	C/P	35.00	01/15/2016
HBC	C/P	40.00	01/15/2016	HSBC	C/P	40.00	01/15/2016
HBC	C/P	45.00	01/15/2016	HSBC	C/P	45.00	01/15/2016
HBC	C/P	50.00	01/15/2016	HSBC	C/P	50.00	01/15/2016

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HBC	C/P	57.50	01/15/2016	HSBC	C/P	57.50	01/15/2016
HBC	C/P	60.00	01/15/2016	HSBC	C/P	60.00	01/15/2016
HBC	C/P	62.50	01/15/2016	HSBC	C/P	62.50	01/15/2016
HBC	C/P	65.00	01/15/2016	HSBC	C/P	65.00	01/15/2016
HBC	C/P	70.00	01/15/2016	HSBC	C/P	70.00	01/15/2016
HBC	C/P	75.00	01/15/2016	HSBC	C/P	75.00	01/15/2016
HBC	C/P	80.00	01/15/2016	HSBC	C/P	80.00	01/15/2016

Disclaimer:

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845.