

Cash Dividend

December 02, 2013

Exchange/Memo ID: 203222

ONN / OCC ID: 33628



ALCATEL-LUCENT - CASH DISTRIBUTION IN LIEU OF RIGHTS

Ex - Distribution Date: 12/03/2013

DESCRIPTION:

Alcatel-Lucent (ALU) announced a distribution of Rights to holders of Alcatel-Lucent Ordinary Shares. The Rights were not registered in the United States and will not be distributed to holders of ALU American Depositary Shares (ADS's). In lieu of the Rights, J.P. Morgan, as the depository for ALU, sold the rights in the home market and will distribute the proceeds of the sale to ALU ADS Holders. The net distribution is \$0.165370 per ADS (gross rate of \$0.175370 - \$0.010000 Depository Fee).

The ADS record date is December 5, 2013. The payable date is December 19, 2013. The New York Stock Exchange (NYSE) has set December 3, 2013, as the ex-distribution date.

Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: **12/03/2013**

Option Symbol: ALU remains ALU

Underlying Security: ALU remains ALU

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Strike Price: **Reduced by \$0.17**

New Deliverable/Contract: 100 Alcatel-Lucent (ALU) American Depositary Shares

On Monday, December 2nd, 2013, immediately after the BOX close, all resting orders for ALU in the order book will be canceled by the BOX Market Operations Center. If a BOX Participant wishes to enter orders for the new ALU series, the BOX Participant will need to reenter the orders before the open on Tuesday, December 3rd, 2013.

Pricing Considerations:

Additional Exchange Info:

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
ALU	C/P	0.50	12/21/2013	ALU	C/P	0.33	12/21/2013
ALU	C/P	1.00	12/21/2013	ALU	C/P	0.83	12/21/2013
ALU	C/P	1.50	12/21/2013	ALU	C/P	1.33	12/21/2013
ALU	C/P	2.00	12/21/2013	ALU	C/P	1.83	12/21/2013
ALU	C/P	2.50	12/21/2013	ALU	C/P	2.33	12/21/2013
ALU	C/P	3.00	12/21/2013	ALU	C/P	2.83	12/21/2013
ALU	C/P	3.50	12/21/2013	ALU	C/P	3.33	12/21/2013
ALU	C/P	4.00	12/21/2013	ALU	C/P	3.83	12/21/2013

	C/P	4.50	12/21/2013	ALU	C/P	4.33	12/21/2013
ALU	C/P	5.00	12/21/2013	ALU	C/P	4.83	12/21/2013
ALU	C/P	6.00	12/21/2013	ALU	C/P	5.83	12/21/2013
ALU	C/P	0.50	01/18/2014	ALU	C/P	0.33	01/18/2014

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ALU	C/P	1.00	01/18/2014	ALU	C/P	0.83	01/18/2014
ALU	C/P	1.50	01/18/2014	ALU	C/P	1.33	01/18/2014
ALU	C/P	2.00	01/18/2014	ALU	C/P	1.83	01/18/2014
ALU	C/P	2.50	01/18/2014	ALU	C/P	2.33	01/18/2014
ALU	C/P	3.00	01/18/2014	ALU	C/P	2.83	01/18/2014
ALU	C/P	3.50	01/18/2014	ALU	C/P	3.33	01/18/2014
ALU	C/P	4.00	01/18/2014	ALU	C/P	3.83	01/18/2014
ALU	C/P	4.50	01/18/2014	ALU	C/P	4.33	01/18/2014
ALU	C/P	5.00	01/18/2014	ALU	C/P	4.83	01/18/2014
ALU	C/P	5.50	01/18/2014	ALU	C/P	5.33	01/18/2014
ALU	C/P	6.00	01/18/2014	ALU	C/P	5.83	01/18/2014
ALU	C/P	7.00	01/18/2014	ALU	C/P	6.83	01/18/2014
ALU	C/P	8.00	01/18/2014	ALU	C/P	7.83	01/18/2014
ALU	C/P	9.00	01/18/2014	ALU	C/P	8.83	01/18/2014
ALU	C/P	0.50	03/22/2014	ALU	C/P	0.33	03/22/2014
ALU	C/P	1.00	03/22/2014	ALU	C/P	0.83	03/22/2014
ALU	C/P	1.50	03/22/2014	ALU	C/P	1.33	03/22/2014
ALU	C/P	2.00	03/22/2014	ALU	C/P	1.83	03/22/2014
ALU	C/P	2.50	03/22/2014	ALU	C/P	2.33	03/22/2014
ALU	C/P	3.00	03/22/2014	ALU	C/P	2.83	03/22/2014
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ALU	C/P	6.00	03/22/2014	ALU	C/P	5.83	03/22/2014
ALU	C/P	7.00	03/22/2014	ALU	C/P	6.83	03/22/2014
ALU	C/P	0.50	06/21/2014	ALU	C/P	0.33	06/21/2014
ALU	C/P	1.00	06/21/2014	ALU	C/P	0.83	06/21/2014
ALU	C/P	1.50	06/21/2014	ALU	C/P	1.33	06/21/2014
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ALU	C/P	9.00	06/21/2014	ALU	C/P	8.83	06/21/2014
ALU	C/P	1.00	01/17/2015	ALU	C/P	0.83	01/17/2015
ALU	C/P	2.00	01/17/2015	ALU	C/P	1.83	01/17/2015
ALU	C/P	3.00	01/17/2015	ALU	C/P	2.83	01/17/2015
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ALU	C/P	1.00	01/15/2016	ALU	C/P	0.83	01/15/2016
ALU	C/P	2.00	01/15/2016	ALU	C/P	1.83	01/15/2016
ALU	C/P	2.50	01/15/2016	ALU	C/P	2.33	01/15/2016
ALU	C/P	3.00	01/15/2016	ALU	C/P	2.83	01/15/2016
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ALU	C/P	5.50	01/15/2016	ALU	C/P	5.33	01/15/2016
ALU	C/P	7.00	01/15/2016	ALU	C/P	6.83	01/15/2016

Disclaimer:

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information:

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845.