



BOXSM
O P T I O N S

Regulatory Circular

RC-2016-07

TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Penny Pilot Issue Replacements
DATE: June 29, 2016

Per an industry-wide initiative to replace delisted Penny Pilot Program issues, BOX Options Exchange will make the following replacements in the Penny Pilot Program effective **Wednesday, July 6, 2016**.

The following issues will be replaced:

ANR/ ANRZQ	Alpha Natural Resources Inc
BRCM	Broadcom Corp
CB	The Chubb Corporation
GMCR	Green Mountain Coffee Roasters Inc
LDK/ LDKYQ	LDK Solar Co Ltd
PCL	Plum Creek Timber Co Inc
SNDK	SanDisk Corporation

The replacements will be:

ETE	Energy Transfer Equity LP
XBI	SPDR S&P Biotech ETF
JD	JD.Com Inc
ODP	Office Depot Inc.
FIT	Fitbit Inc.
ABBV	AbbVie Inc
PYPL	Paypal Holdings Inc.

A complete list of Penny Pilot Names can be found [here](#).

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.