



BOXSM
REGULATION

Regulatory Circular

RC-2017-06

TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Consolidated Audit Trail Industry Webcast
DATE: April 6, 2017

On **Thursday, April 13, 2017 4:15 pm (ET)** the CAT NMS, LLC Operating Committee will be hosting a Consolidated Audit Trail Industry Webcast. Representatives from the CAT NMS, LLC Operating Committee, and representatives from the CAT Plan Processor, Thesys Technologies, will present an update on the progress of the Consolidated Audit Trail. The discussion topics outlined below will provide industry members insight to the CAT program and several technical topics. Online questions will be accepted throughout the webcast. The webcast will be recorded and playback will be made available on the [CAT NMS Plan](#) website.

Discussion topics will include:

- Governance Structure
- Thesys, the Plan Processor
- Clock Synchronization
- Industry Testing
- Technical Specifications
- Billing and Fees

[CLICK HERE TO JOIN THE VIRTUAL WEBCAST \(WebEx\)](#)

Teleconference Information:

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Passcode: 6239441

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.