

# Stock Split

November 04, 2016

Exchange/Memo ID: 203953

ONN / OCC ID: 39978



## ISHARES MORTGAGE REAL ESTATE CAPPED ETF - REVERSE SPLIT

Ex - Distribution Date: 11/07/2016

### DESCRIPTION:

iShares Mortgage Real Estate Capped ETF (REM) has announced a 1-for-4 reverse stock split. As a result of the reverse stock split, each REM Share will be converted into the right to receive 0.25 (New) iShares Mortgage Real Estate Capped ETF Shares. The reverse stock split will become effective before the market open on November 7, 2016.

### Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

**Adjustment Date:** November 7, 2016

Option Symbol: REM becomes REM1

Underlying Security: REM remains REM

CUSIP: 46435G342

Contract Multiplier: 1

**Strike Price:** Remains the Same

New Multiplier: 100

Old Deliverable:

New Deliverable: 25 (New) iShares Mortgage Real Estate Capped ETF (REM) Shares

**Pricing Considerations:** The underlying price for REM1 will be determined as follows:  
REM1 = 0.25 (REM)

### Additional Exchange Info:

On Friday, November 4th, 2016, immediately after the BOX close, all resting orders for REM in the order book will be canceled by the BOX Market Operations Center. If a BOX Participant wishes to enter orders for the new REM1 series, the BOX Participant will need to reenter the orders before the open on Monday, November 7th, 2016.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
REM	C/P	1.00	11/18/2016	REM1	C/P	1.00	11/18/2016
REM	C/P	2.00	11/18/2016	REM1	C/P	2.00	11/18/2016
REM	C/P	3.00	11/18/2016	REM1	C/P	3.00	11/18/2016
REM	C/P	4.00	11/18/2016	REM1	C/P	4.00	11/18/2016
REM	C/P	5.00	11/18/2016	REM1	C/P	5.00	11/18/2016
REM	C/P	6.00	11/18/2016	REM1	C/P	6.00	11/18/2016
REM	C/P	7.00	11/18/2016	REM1	C/P	7.00	11/18/2016
REM	C/P	8.00	11/18/2016	REM1	C/P	8.00	11/18/2016
REM	C/P	9.00	11/18/2016	REM1	C/P	9.00	11/18/2016
REM	C/P	10.00	11/18/2016	REM1	C/P	10.00	11/18/2016
REM	C/P	11.00	11/18/2016	REM1	C/P	11.00	11/18/2016
REM	C/P	12.00	11/18/2016	REM1	C/P	12.00	11/18/2016



Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
REM	C/P	13.00	11/18/2016	REM1	C/P	13.00	11/18/2016
REM	C/P	14.00	11/18/2016	REM1	C/P	14.00	11/18/2016
REM	C/P	15.00	11/18/2016	REM1	C/P	15.00	11/18/2016
REM	C/P	16.00	11/18/2016	REM1	C/P	16.00	11/18/2016
REM	C/P	17.00	11/18/2016	REM1	C/P	17.00	11/18/2016
REM	C/P	18.00	11/18/2016	REM1	C/P	18.00	11/18/2016
REM	C/P	19.00	11/18/2016	REM1	C/P	19.00	11/18/2016
REM	C/P	20.00	11/18/2016	REM1	C/P	20.00	11/18/2016
REM	C/P	21.00	11/18/2016	REM1	C/P	21.00	11/18/2016
REM	C/P	1.00	12/16/2016	REM1	C/P	1.00	12/16/2016
REM	C/P	2.00	12/16/2016	REM1	C/P	2.00	12/16/2016
REM	C/P	3.00	12/16/2016	REM1	C/P	3.00	12/16/2016
REM	C/P	4.00	12/16/2016	REM1	C/P	4.00	12/16/2016
REM	C/P	5.00	12/16/2016	REM1	C/P	5.00	12/16/2016
REM	C/P	6.00	12/16/2016	REM1	C/P	6.00	12/16/2016
REM	C/P	7.00	12/16/2016	REM1	C/P	7.00	12/16/2016
REM	C/P	8.00	12/16/2016	REM1	C/P	8.00	12/16/2016
REM	C/P	9.00	12/16/2016	REM1	C/P	9.00	12/16/2016
REM	C/P	10.00	12/16/2016	REM1	C/P	10.00	12/16/2016
REM	C/P	11.00	12/16/2016	REM1	C/P	11.00	12/16/2016
REM	C/P	12.00	12/16/2016	REM1	C/P	12.00	12/16/2016
REM	C/P	13.00	12/16/2016	REM1	C/P	13.00	12/16/2016
REM	C/P	14.00	12/16/2016	REM1	C/P	14.00	12/16/2016
REM	C/P	15.00	12/16/2016	REM1	C/P	15.00	12/16/2016
REM	C/P	16.00	12/16/2016	REM1	C/P	16.00	12/16/2016
REM	C/P	17.00	12/16/2016	REM1	C/P	17.00	12/16/2016
REM	C/P	18.00	12/16/2016	REM1	C/P	18.00	12/16/2016
REM	C/P	19.00	12/16/2016	REM1	C/P	19.00	12/16/2016
REM	C/P	20.00	12/16/2016	REM1	C/P	20.00	12/16/2016
REM	C/P	1.00	01/20/2017	REM1	C/P	1.00	01/20/2017
REM	C/P	2.00	01/20/2017	REM1	C/P	2.00	01/20/2017
REM	C/P	3.00	01/20/2017	REM1	C/P	3.00	01/20/2017
REM	C/P	4.00	01/20/2017	REM1	C/P	4.00	01/20/2017
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REM	C/P	10.00	01/20/2017	REM1	C/P	10.00	01/20/2017
REM	C/P	11.00	01/20/2017	REM1	C/P	11.00	01/20/2017
REM	C/P	12.00	01/20/2017	REM1	C/P	12.00	01/20/2017
REM	C/P	13.00	01/20/2017	REM1	C/P	13.00	01/20/2017
REM	C/P	14.00	01/20/2017	REM1	C/P	14.00	01/20/2017
REM	C/P	15.00	01/20/2017	REM1	C/P	15.00	01/20/2017
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REM	C/P	19.00	01/20/2017	REM1	C/P	19.00	01/20/2017
REM	C/P	20.00	01/20/2017	REM1	C/P	20.00	01/20/2017
REM	C/P	1.00	04/21/2017	REM1	C/P	1.00	04/21/2017
REM	C/P	2.00	04/21/2017	REM1	C/P	2.00	04/21/2017
REM	C/P	3.00	04/21/2017	REM1	C/P	3.00	04/21/2017
REM	C/P	4.00	04/21/2017	REM1	C/P	4.00	04/21/2017
REM	C/P	5.00	04/21/2017	REM1	C/P	5.00	04/21/2017
REM	C/P	6.00	04/21/2017	REM1	C/P	6.00	04/21/2017
REM	C/P	7.00	04/21/2017	REM1	C/P	7.00	04/21/2017
REM	C/P	8.00	04/21/2017	REM1	C/P	8.00	04/21/2017
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REM	C/P	10.00	04/21/2017	REM1	C/P	10.00	04/21/2017
REM	C/P	11.00	04/21/2017	REM1	C/P	11.00	04/21/2017
REM	C/P	12.00	04/21/2017	REM1	C/P	12.00	04/21/2017
REM	C/P	13.00	04/21/2017	REM1	C/P	13.00	04/21/2017
REM	C/P	14.00	04/21/2017	REM1	C/P	14.00	04/21/2017
REM	C/P	15.00	04/21/2017	REM1	C/P	15.00	04/21/2017
REM	C/P	16.00	04/21/2017	REM1	C/P	16.00	04/21/2017
REM	C/P	17.00	04/21/2017	REM1	C/P	17.00	04/21/2017
REM	C/P	18.00	04/21/2017	REM1	C/P	18.00	04/21/2017
REM	C/P	19.00	04/21/2017	REM1	C/P	19.00	04/21/2017
REM	C/P	20.00	04/21/2017	REM1	C/P	20.00	04/21/2017
REM	C/P	21.00	04/21/2017	REM1	C/P	21.00	04/21/2017

**Disclaimer:**

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

**Contact Information:**

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845.