

Symbol Conversion

July 31, 2019

Exchange/Memo ID: 204519

ONN / OCC ID: 45429



GSV CAPITAL CORPORATION - NAME/SYMBOL/CUSIP CHANGE

DESCRIPTION:

The Options Clearing Corporation (OCC) has been informed that GSV Capital Corporation (GSVC) will change its name, trading symbol and CUSIP to Sutter Rock Capital Corporation (SSSS), CUSIP 86944Q100. As a result, option symbol GSVC will also change to SSSS effective at the opening of business on August 1, 2019.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol SSSS commencing August 1, 2019.

Symbol Conversion:

Adjustment Date: August 1, 2019

Option Symbol: GSVC becomes SSSS

Underlying Security: GSVC becomes SSSS

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 Sutter Rock Capital Corporation (SSSS) Common Shares

Pricing Considerations:

Additional Exchange Info: All resting orders in the above options in the BOX Order book will be cancelled by the BOX Market Operations Center after the close today, July 31st, 2019.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
GSVC	C/P	2.50	08/16/2019	SSSS	C/P	2.50	08/16/2019
GSVC	C/P	5.00	08/16/2019	SSSS	C/P	5.00	08/16/2019
GSVC	C/P	7.50	08/16/2019	SSSS	C/P	7.50	08/16/2019
GSVC	C/P	10.00	08/16/2019	SSSS	C/P	10.00	08/16/2019
GSVC	C/P	12.50	08/16/2019	SSSS	C/P	12.50	08/16/2019
GSVC	C/P	2.50	09/20/2019	SSSS	C/P	2.50	09/20/2019
GSVC	C/P	5.00	09/20/2019	SSSS	C/P	5.00	09/20/2019
GSVC	C/P	7.50	09/20/2019	SSSS	C/P	7.50	09/20/2019
GSVC	C/P	10.00	09/20/2019	SSSS	C/P	10.00	09/20/2019
GSVC	C/P	2.50	12/20/2019	SSSS	C/P	2.50	12/20/2019
GSVC	C/P	5.00	12/20/2019	SSSS	C/P	5.00	12/20/2019
GSVC	C/P	7.50	12/20/2019	SSSS	C/P	7.50	12/20/2019
GSVC	C/P	10.00	12/20/2019	SSSS	C/P	10.00	12/20/2019
GSVC	C/P	12.50	12/20/2019	SSSS	C/P	12.50	12/20/2019
GSVC	C/P	2.50	03/20/2020	SSSS	C/P	2.50	03/20/2020
GSVC	C/P	5.00	03/20/2020	SSSS	C/P	5.00	03/20/2020

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
GSVC	C/P	7.50	03/20/2020	SSSS	C/P	7.50	03/20/2020
GSVC	C/P	10.00	03/20/2020	SSSS	C/P	10.00	03/20/2020
GSVC	C/P	12.50	03/20/2020	SSSS	C/P	12.50	03/20/2020

Disclaimer:

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.

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