

Symbol Conversion

August 12, 2022

Exchange/Memo ID: 205584

ONN / OCC ID: 50868



Apollo Investment Corporation - Name/Symbol Change

DESCRIPTION:

Apollo Investment Corporation (AINV) will change its name and trading symbol to MidCap Financial Investment Corporation (MFIC) effective August 15, 2022. As a result, option symbols AINV/2AINV will also change to MFIC/2MFIC effective at the opening of business on August 15, 2022.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbols MFIC/2MFIC commencing August 15, 2022.

Symbol Conversion:

Adjustment Date: August 15, 2022

Option Symbol: AINV becomes MFIC

Underlying Security: AINV becomes MFIC

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 MidCap Financial Investment Corporation (MFIC) Common Shares

Adjustment Date: August 15, 2022

Option Symbol: 2AINV becomes 2MFIC

Underlying Security: AINV becomes MFIC

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 MidCap Financial Investment Corporation (MFIC) Common Shares

Pricing Considerations:

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close, August 12, 2022.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
AINV	C/P	3.00	08/19/2022	MFIC	C/P	3.00	08/19/2022
AINV	C/P	4.00	08/19/2022	MFIC	C/P	4.00	08/19/2022
AINV	C/P	5.00	08/19/2022	MFIC	C/P	5.00	08/19/2022
AINV	C/P	6.00	08/19/2022	MFIC	C/P	6.00	08/19/2022
AINV	C/P	7.00	08/19/2022	MFIC	C/P	7.00	08/19/2022
AINV	C/P	8.00	08/19/2022	MFIC	C/P	8.00	08/19/2022
AINV	C/P	9.00	08/19/2022	MFIC	C/P	9.00	08/19/2022

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
AINV	C/P	10.00	08/19/2022	MFIC	C/P	10.00	08/19/2022
AINV	C/P	11.00	08/19/2022	MFIC	C/P	11.00	08/19/2022
AINV	C/P	12.00	08/19/2022	MFIC	C/P	12.00	08/19/2022
AINV	C/P	13.00	08/19/2022	MFIC	C/P	13.00	08/19/2022
AINV	C/P	14.00	08/19/2022	MFIC	C/P	14.00	08/19/2022
AINV	C/P	15.00	08/19/2022	MFIC	C/P	15.00	08/19/2022
AINV	C/P	16.00	08/19/2022	MFIC	C/P	16.00	08/19/2022
AINV	C/P	17.00	08/19/2022	MFIC	C/P	17.00	08/19/2022
AINV	C/P	18.00	08/19/2022	MFIC	C/P	18.00	08/19/2022
AINV	C/P	19.00	08/19/2022	MFIC	C/P	19.00	08/19/2022
AINV	C/P	20.00	08/19/2022	MFIC	C/P	20.00	08/19/2022
AINV	C/P	21.00	08/19/2022	MFIC	C/P	21.00	08/19/2022
AINV	C/P	3.00	09/16/2022	MFIC	C/P	3.00	09/16/2022
AINV	C/P	4.00	09/16/2022	MFIC	C/P	4.00	09/16/2022
AINV	C/P	5.00	09/16/2022	MFIC	C/P	5.00	09/16/2022
AINV	C/P	6.00	09/16/2022	MFIC	C/P	6.00	09/16/2022
AINV	C/P	7.00	09/16/2022	MFIC	C/P	7.00	09/16/2022
AINV	C/P	8.00	09/16/2022	MFIC	C/P	8.00	09/16/2022
AINV	C/P	9.00	09/16/2022	MFIC	C/P	9.00	09/16/2022
AINV	C/P	10.00	09/16/2022	MFIC	C/P	10.00	09/16/2022
AINV	C/P	11.00	09/16/2022	MFIC	C/P	11.00	09/16/2022
AINV	C/P	12.00	09/16/2022	MFIC	C/P	12.00	09/16/2022
AINV	C/P	13.00	09/16/2022	MFIC	C/P	13.00	09/16/2022
AINV	C/P	14.00	09/16/2022	MFIC	C/P	14.00	09/16/2022
AINV	C/P	15.00	09/16/2022	MFIC	C/P	15.00	09/16/2022
AINV	C/P	16.00	09/16/2022	MFIC	C/P	16.00	09/16/2022
AINV	C/P	17.00	09/16/2022	MFIC	C/P	17.00	09/16/2022
AINV	C/P	18.00	09/16/2022	MFIC	C/P	18.00	09/16/2022
AINV	C/P	19.00	09/16/2022	MFIC	C/P	19.00	09/16/2022
AINV	C/P	20.00	09/16/2022	MFIC	C/P	20.00	09/16/2022
AINV	C/P	21.00	09/16/2022	MFIC	C/P	21.00	09/16/2022
AINV	C/P	5.00	12/16/2022	MFIC	C/P	5.00	12/16/2022
AINV	C/P	6.00	12/16/2022	MFIC	C/P	6.00	12/16/2022
AINV	C/P	7.00	12/16/2022	MFIC	C/P	7.00	12/16/2022
AINV	C/P	8.00	12/16/2022	MFIC	C/P	8.00	12/16/2022
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AINV	C/P	11.00	12/16/2022	MFIC	C/P	11.00	12/16/2022
AINV	C/P	12.00	12/16/2022	MFIC	C/P	12.00	12/16/2022
AINV	C/P	13.00	12/16/2022	MFIC	C/P	13.00	12/16/2022
AINV	C/P	14.00	12/16/2022	MFIC	C/P	14.00	12/16/2022
AINV	C/P	15.00	12/16/2022	MFIC	C/P	15.00	12/16/2022
AINV	C/P	16.00	12/16/2022	MFIC	C/P	16.00	12/16/2022
AINV	C/P	17.00	12/16/2022	MFIC	C/P	17.00	12/16/2022

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AINV	C/P	20.00	12/16/2022	MFIC	C/P	20.00	12/16/2022
AINV	C/P	21.00	12/16/2022	MFIC	C/P	21.00	12/16/2022
AINV	C/P	3.00	03/17/2023	MFIC	C/P	3.00	03/17/2023
AINV	C/P	4.00	03/17/2023	MFIC	C/P	4.00	03/17/2023
AINV	C/P	5.00	03/17/2023	MFIC	C/P	5.00	03/17/2023
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AINV	C/P	10.00	03/17/2023	MFIC	C/P	10.00	03/17/2023
AINV	C/P	11.00	03/17/2023	MFIC	C/P	11.00	03/17/2023
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AINV	C/P	14.00	03/17/2023	MFIC	C/P	14.00	03/17/2023
AINV	C/P	15.00	03/17/2023	MFIC	C/P	15.00	03/17/2023
AINV	C/P	16.00	03/17/2023	MFIC	C/P	16.00	03/17/2023
AINV	C/P	17.00	03/17/2023	MFIC	C/P	17.00	03/17/2023
AINV	C/P	18.00	03/17/2023	MFIC	C/P	18.00	03/17/2023
AINV	C/P	19.00	03/17/2023	MFIC	C/P	19.00	03/17/2023
AINV	C/P	20.00	03/17/2023	MFIC	C/P	20.00	03/17/2023
AINV	C/P	21.00	03/17/2023	MFIC	C/P	21.00	03/17/2023

Disclaimer:

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.