



Informational Circular

IC-2020-33

TO: BOX Options Participants
FROM: BOX Market Operations Center
SUBJECT: Update to Trade Detail Files – NEW DATE
DATE: December 11, 2020

BOX Options (“BOX”) previously distributed [IC-2020-32](#) stating that effective Monday, January 4, 2021, BOX would release a new version of the daily and monthly trade detail files.

The implementation of the new trade detail file format will now begin on **February 1, 2021**. BOX will continue to distribute the trade detail files in the current format until then.

Beginning on **February 1, 2021**, the monthly and daily trade detail files will include eleven new fields added to the end of the files as detailed below. Please note that the January 2021 Monthly Trade Detail file which is published in early February will be in the new file format.

The new fields are as follows:

Order	Field Name	Max Characters		Column	Description
		Header	Field	Ref	
66	PostTrade Opposite Account - Public Customer Quantity	53	10	BN	Proportion of allocation quantity distributed to opposite Public Customer Account Type
67	PostTrade Opposite Account - Broker Dealer Quantity	51	10	BO	Proportion of allocation quantity distributed to opposite Broker Dealer Account Type
68	PostTrade Opposite Account - Market Maker Quantity	50	10	BP	Proportion of allocation quantity distributed to opposite Market Maker Account Type
69	PostTrade Opposite Account - AAMM Quantity	42	10	BQ	Proportion of allocation quantity distributed to opposite Away Affiliated Market Maker Account Type
70	PostTrade Opposite Account - Floor Broker Quantity	50	10	BR	Proportion of allocation quantity distributed to opposite Floor Broker Account Type
71	PostTrade Opposite Account - Floor Market Maker Quantity	56	10	BS	Proportion of allocation quantity distributed to opposite Floor Market Maker Account Type
72	PostTrade Opposite Account - Floor Broker Customer Quantity	59	10	BT	Proportion of allocation quantity distributed to opposite Floor Broker Customer Account Type
73	PostTrade Opposite Account - Broker Customer Quantity	53	10	BU	Proportion of allocation quantity distributed to opposite Broker Customer Account Type

74	PostTrade Opposite Account - Professional Customer Quantity	59	10	BV	Proportion of allocation quantity distributed to opposite Professional Customer Account Type
75	PIP/COPIP Break-Up Credit (PIPCOPIPBreakUp)	43	10	BW	Amount
76	Facilitation Solicitation Break-Up Credit (FacilitSolicitBreakUp)	65	10	BX	Amount

As a reminder, BOX Participants are able to obtain daily and monthly files detailing their trading activity. These files include billing information on a trade-by-trade basis.

- Files will be available on a daily basis by 2:00 AM EST
- Files can be downloaded using Internet SFTP or a Secure FTP site with IP Address: **198.235.27.150**
- Each file is in Comma Separated Value (CSV) format
- Headers are included in the file
- File Time Periods include:
 - Daily (T+1): All trades by the firm for the previous trading date
 - Cumulative (Monthly): Aggregated trades by firm for the month
- File Naming Convention:
 - Daily (T+1): FIRMID_YYYYMMDD.csv
Note: *If there were no trades executed by the firm for the current day, then the daily file will be empty*
 - Cumulative (Monthly): FIRMID_YYYYMM.csv.
- Files will be available on the FTP site for the current and previous month

Contact

If you have any questions or concerns regarding the new file format, please contact the BOX Billing Department at billing@boxregulation.com or the Market Operations Center at (866) 768-8845 or by e-mail at BOXMOC@boxoptions.com.