



BOXSM
O P T I O N S

Informational Circular

IC-2021-34

TO: BOX Options Participants
FROM: BOX Market Operations Center
SUBJECT: Virtual Trading Floor
DATE: August 05, 2021

This notice is being issued to provide information regarding the BOX Virtual Trading Floor (“VTF”) to be utilized if the BOX Trading Floor located at 141 W Jackson Blvd in Chicago, Illinois becomes inoperable.¹ The procedures and requirements for access to the VTF environment appear below.

VTF Environment

The VTF environment will be accessible by Floor Brokers and Floor Markets Makers with active Trading Floor permits, and certain respective personnel. The VTF will allow open outcry communication between market participants to execute orders in a substantially similar manner to open outcry trading. The VTF environment will require Floor Brokers to input all orders represented in open outcry on the VTF electronic blotter.

System Requirements for the BOX VTF

- High-speed Internet Connection
 - Recommended 100.0 Mbps (up/down) for sending receiving 1080p video
- Headset – Wired or Bluetooth
- Webcam or HD webcam – built-in or USB plug-in
- Desktop PC or tablet requirements (dedicated to video collaboration)
 - Supported Operating Systems:
 - Windows: 7, 8, 8.1, 10 (Home, Pro, Enterprise)
 - MacOS: 10.9 or later
 - Supported Browsers:
 - Windows: Chrome 30+ (preferred), Windows IE 11+, Edge 12+, Firefox 27+
 - Mac: Chrome 30+ (preferred), Safari 7+, Firefox 27+
 - Processor: dual core 2Ghz or higher – i3/i5/i7 or AMD equivalent
 - RAM: 4Gb or higher

Access to the VTF Environment

To gain access to the BOX VTF, Participants must:

¹ See Securities Exchange Release Act No. 92555 (August 3, 2021) (Notice of Filing of Amendment No. 1 and Order Granting Accelerated Approval of a Proposed Rule Change, as Modified by Amendment No. 1, to Adopt BOX Rule 7670 to Establish a Virtual Trading Floor on BOX).

1. Request VTF Access from the BOX Market Operations Center ("MOC") at BOXMOC@boxoptions.com.
2. Once permissioned, go to vtf.boxoptions.com.
3. Login using account information provided by the MOC.

Once connected to the VTF, please refer to the [BOX VTF User Guide](#) for additional details regarding VTF functionality.

Access to the Virtual Trading Floor will be available between the hours of 8:00 am EST through 5:00 pm EST.

Testing

BOX expects to hold a coordinated testing session in the near future, with testing dates to be disseminated in a future BOX Informational Circular.

Contact

Please contact the Market Operations Center at (866) 768-8845 or by e-mail at BOXMOC@boxoptions.com should you require additional information.