



**BOX**<sup>SM</sup>  
REGULATION

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Regulatory Circular

RC-2016-13

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**TO: BOX Options Participants**  
**FROM: BOX Regulation**  
**SUBJECT: Penny Pilot Issue Replacements**  
**DATE: December 30, 2016**

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Per an industry-wide initiative to replace delisted Penny Pilot Program issues, BOX Options Exchange will make the following replacements in the Penny Pilot Program effective **Wednesday, January 4, 2017**.

The following issues will be replaced:

|      |  |
|------|--|
| ACI  | Arch Coal Inc.                           |
| EMC  | EMC Corporation                          |
| HOT  | Starwood Hotels & Resorts Worldwide Inc. |
| LNKD | LinkedIn Corp.                           |
| MCP  | Molycorp Inc.                            |
| MDVN | Medivation Inc.                          |
| SCTY | SolarCity Corp.                          |
| SD   | SandRidge Energy Inc.                    |
| SQNM | Sequenom Inc.                            |
| TIVO | TiVo Corporation (Old)                   |

The replacements will be:

|      |   |
|------|---|
| AGN  | Allergan plc                                  |
| COTY | Coty Inc.                                     |
| DB   | Deutsche Bank AG (USA)                        |
| GDXJ | Market Vectors Junior Gold Miners ETF         |
| NUGT | Direxion Daily Gold Miners Bull 3x Shares     |
| SRPT | Sarepta Therapeutics Inc.                     |
| SVXY | ProShares Short VIX Short-Term Futures<br>ETF |
| TWLO | Twilio Inc.                                   |
| UAA  | Under Armour, Inc.                            |
| WLL  | Whiting Petroleum Corp.                       |

A complete list of Penny Pilot Names can be found [here](#).

### **Contact**

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at [BOXMRC@BOXregulation.com](mailto:BOXMRC@BOXregulation.com).