



BOXSM
REGULATION

Regulatory Circular

RC-2017-14

TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Trading Floor Order Origin Codes for Post Trade Allocation
DATE: August 21, 2017

To effectively process all post-trade allocations on the BOX Options Exchange ("BOX") Trading Floor,¹ Floor Brokers are required to provide BOX with the following Trading Floor specific Order Origin Codes (V,Y or Z) in the clearing adjustment file.

Please note, these Order Origin Codes cannot be used for order entry purposes.

Floor Participant Responder Account type	ATR Drop Copy	OCC	Billing
Floor Broker Cleared as Customer	V	C	PC
Floor Broker	Y	F	BD
Floor Market Maker	Z	M	MM

Please see [RC-2014-05A](#) for a complete overview of the BOX Order Origin Codes.

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.

¹ See [SR-BOX-2016-48 Amendment 2](#).