



## Restricted OCC Clearing Numbers

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
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161	<p>Gary A. Hunt            Director            Global Execution Services            Bank of America Merrill Lynch            BofA Securities, Inc.            540 West Madison St., 28th Floor, Chicago, IL 60661            w: 312-234-4008   c: 630-404-2510            Email: <a href="mailto:gary.hunt@bofa.com">gary.hunt@bofa.com</a></p>	12/16/19
792	<p>Gary A. Hunt            Director            Global Execution Services            Bank of America Merrill Lynch            BofA Securities, Inc.            540 West Madison St. 28th Floor   Chicago, IL 60661            w: 312-234-4008   c: 630-404-2510            Email: <a href="mailto:gary.hunt@bofa.com">gary.hunt@bofa.com</a></p>	12/16/19
519	<p>Mark Morrison   Director            Equities Asset Management Services            Bank of America Merrill Lynch            One Bryant Park, 6th Floor  New York, NY 10036            w: +1-646-743-1241            Email: <a href="mailto:mmorrison3@baml.com">mmorrison3@baml.com</a></p>	12/16/19

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551	Mark Morrison   Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor  New York, NY 10036 w: +1-646-743-1241 Email: <a href="mailto:mmorrison3@baml.com">mmorrison3@baml.com</a>	12/16/19
552	Mark Morrison   Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor  New York, NY 10036 w: +1-646-743-1241 Email: <a href="mailto:mmorrison3@baml.com">mmorrison3@baml.com</a>	12/16/19
598	Mark Morrison   Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor  New York, NY 10036 w: +1-646-743-1241 Email: <a href="mailto:mmorrison3@baml.com">mmorrison3@baml.com</a>	12/16/19
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840	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: <a href="mailto:occ-membership@ny.email.gs.com">occ-membership@ny.email.gs.com</a> ; <a href="mailto:occ-membership@gs.com">occ-membership@gs.com</a>	12/26/19
733	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St., 28th Floor, Chicago, IL 60661 w: 312-234-4008   c: 630-404-2510 Email: <a href="mailto:gary.hunt@bofa.com">gary.hunt@bofa.com</a>	05/27/21