



Restricted OCC Clearing Numbers

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
443	Brian Reschke VP, Trading Services Pershing, LLC, a BNY Mellon Company Phone: (212) 815-3024 Email: Brian.Reschke@Pershing.com	12/16/19
161	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St., 28th Floor, Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	12/16/19
792	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St. 28th Floor Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	12/16/19
519	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
540	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
551	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
552	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
598	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
010	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
200	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19
840	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19
733	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St., 28th Floor, Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	05/27/21